

09926290 103000  
77 Rec'd PCT/PTO 09 OCT 2001

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

Attorney Docket No. 01183

U.S. Application No. (if known,  
see 37 CFR 1.5)

09/926290

INTERNATIONAL APPLICATION NO.  
PCT/FR00/00992

INTERNATIONAL FILING DATE  
April 17, 2000

PRIORITY DATE CLAIMED  
April 20, 1999

TITLE OF INVENTION  
COMPOSITE SEALING CAP

APPLICANT(S) FOR DO/EO/US

Jacques Grainger, Yves Peyrin, Jean-Marie Bourreau

Applicant herewith submits to the United States Designated Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (only if not required by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ As assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
Application Data Sheet



23338

PATENT TRADEMARK OFFICE

DOCUMENT PROCESS  
BRANCH  
01 OCT 10

17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a)(1)-(5):</b> Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO And International Search Report not prepared by EPO or JPO..... \$1,040.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by EPO or JPO.....\$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$740.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) But all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of <b>\$130.00</b> for furnishing oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$890.00	
CLAIMS					
NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	22 -20=	2	X \$18.00	\$36.00	
Independent Claims	1 -3=		X \$84.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1056.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$1056.00	
Processing fee of <b>\$130.00</b> for furnishing English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$1056.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$1056.00	
				Amount to be refunded:	\$
				charged:	\$

- a. ☐ A check in the amount of \$ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 04-0753 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0753. A duplicate copy of this sheet is enclosed.
- d. ☒ A payment of \$ 1056.00 is made by credit card. A Credit Card Payment Form (PTO-2038) is attached hereto. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or any patent application processing fees under 37 CFR 1.17, or credit any over payment to the credit card account shown on the attached Credit Card Payment Form. Refund of all amounts overpaid, including those of twenty-five dollars or less, is specifically requested. Any fees not accepted by the credit card shown on Form PTO-2038 may be charged to Deposit Account No. 04-0753.

SEND ALL CORRESPONDENCE TO:  
Dennison, Scheiner, Schultz & Wakeman  
612 Crystal Square 4  
1745 Jefferson Davis Highway  
Arlington, VA 22202-3417  
Telephone (703) 412-1155 Ext. 23  
Facsimile (703) 412-1161

  
SIGNATURE

Ira J. Schultz

NAME

28666

REGISTRATION NUMBER

09926290 09/926290

410 Rec'd PCT/PTO 09 OCT 2001

Dkt. 01183

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JACQUES GRANGER et al

PCT

Serial No.: none assigned  
(PCT/FR00/00992)

Filed: Concurrently Herewith

For: COMPOSITE SEALING CAP

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Before calculation of the filing fee, please amend  
the above-identified application as follows:

IN THE CLAIMS:

Please enter into the application the amended claims  
as set forth hereinbelow and in the attached Appendix:

2. (Amended) Cap according to claim 1 wherein said  
outer part (4) comprises an outer head (40).

3. (Amended) Cap according to claim 1 wherein said  
outer part (4) comprises a straight skirt (41).

4. (Amended) Cap according to claim 1 wherein said outer part (4) forms a rotation surface, of a constant radius or not depending on the height in question.

5. (Amended) Cap according to claim 1 wherein said outer part (4), of any outer shape, and said inner part (3) use mechanical (44, 45) or chemical attachment means, typically by gluing, as the means for said assembly, to said inner part (3).

6. (Amended) Cap according to claim 1 wherein said inner part (3) is a PP insert, equipped with inner threading (32), on which the guarantee seal (34) comprises clips (341).

7. (Amended) Cap according to claim 1 wherein said outer part (4), made of metal or comprising a metal part, is attached to said insert by gluing.

9. (Amended) Cap according to claim 1 wherein said outer part (4), made of plastic, typically polystyrene, is attached to said insert by mechanical assembly or by gluing.

11. (Amended) Cap according to claim 1 wherein said guarantee seal (34) comprises an inner ring (340) equipped with fastening components (341), typically clips or hooks, turned towards the inside of said cap, and snapped under said ring (20) such that, during said first opening, the bridges (33) break, with said guarantee seal (34) prevented from

moving upwards by the co-operation of said components (341) with said ring (20), and such that said guarantee seal (34), separated from the rest of said cap, becomes the visible proof of said first opening.

13. (Amended) Cap according to claim 1 wherein said sealing means typically comprises an added seal (35) or a circular lip (36) attached to said inner head.

16. (Amended) Cap according to claim 14 wherein said radial compression means comprises an annular extra thickness (310, 302) formed on said inner skirt (31) or on said inner head (30), typically at the bridge (301) between the inner head (30) and the inner skirt (31), and intended to compress said seal onto all or part of the curved part (221), typically inclined, and/or onto the radial part (222), typically vertical, of the edge (22).

18. (Amended) Cap according to claim 14 wherein said inner head (30) comprises an annular rim (38) with a punched central part, typically opposite the mouth (23) of said neck (2).

19. (Amended) Cap according to claim 14 wherein, a) said inner head (30) has a thickness ranging from 0 to 0.5 mm, b) said compression means is typically radial, and c) this compression means comprises a curved part (311) with a

curvature typically similar to that of the curved part (221) of said edge which is opposite.

20. (Amended) Cap according to claim 14 wherein the thickness of said compression means is chosen as a function of the thickness  $E_j$  of the seal and the space  $E_o$  between said neck and said cap in particular, such that said recipient is closed in a tight manner by said cap, the thickness of the locally compressed seal or the distance  $E$  between the end of said compression means and said edge being typically between  $0.2 E_j$  and  $0.7 E_j$ , where  $E_j$  is typically between 1 and 2.5 mm.

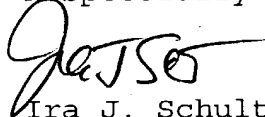
21. (Amended) Cap according to claim 14 wherein said axial and/or radial compression means is an integral part of said insert (3) or forms an added part.

22. (Amended) Cap according to claim 13 comprising holding means for said added seal, typically a holding rim (312) attached to said inner skirt (31).

REMARKS

The claims have been amended to delete all multiple dependencies.

Respectfully submitted,



Ira J. Schultz  
Registration No. 28666

APPENDIX

2. (Amended) Cap according to claim 1 wherein said outer part (4) comprises [a so-called] an outer head (40).

3. (Amended) Cap according to [any of claims 1 to 2] claim 1 wherein said outer part (4) comprises a straight skirt (41).

4. (Amended) Cap according to [any of claims 1 to 2] claim 1 wherein said outer part (4) forms a rotation surface, of a constant radius or not depending on the height in question.

5. (Amended) Cap according to [any of claims 1 to 4] claim 1 wherein said outer part (4), of any outer shape, and said inner part (3) use mechanical (44, 45) or chemical attachment means, typically by gluing, as the means for said assembly, to said inner part (3).

6. (Amended) Cap according to [any of claims 1 to 5] claim 1 wherein said inner part (3) is a PP insert, equipped with inner threading (32), on which the guarantee seal (34) comprises clips (341).

7. (Amended) Cap according to [any of claims 1 to 6] claim 1 wherein said outer part (4), made of metal or comprising a metal part, is attached to said insert by gluing.



9. (Amended) Cap according to [any of claims 1 to 6] claim 1 wherein said outer part (4), made of plastic, typically polystyrene, is attached to said insert by mechanical assembly or by gluing.

11. (Amended) Cap according to [any of claims 1 to 10] claim 1 wherein said guarantee seal (34) comprises an inner ring (340) equipped with fastening components (341), typically clips or hooks, turned towards the inside of said cap, and snapped under said ring (20) such that, during said first opening, the bridges (33) break, with said guarantee seal (34) prevented from moving upwards by the co-operation of said components (341) with said ring (20) , and such that said guarantee seal (34), separated from the rest of said cap, becomes the visible proof of said first opening.

13. (Amended) Cap according to [any of claims 1 to 12] claim 1 wherein said sealing means typically comprises an added seal (35) or a circular lip (36) attached to said inner head.

16. (Amended) Cap according to [any of claims 14 to 15] claim 14 wherein said radial compression means comprises an annular extra thickness (310, 302) formed on said inner skirt (31) or on said inner head (30), typically at the bridge (301) between the inner head (30) and the inner skirt (31),

and intended to compress said seal onto all or part of the curved part (221), typically inclined, and/or onto the radial part (222), typically vertical, of the edge (22).

18. (Amended) Cap according to [any of claims 14 to 17] claim 14 wherein said inner head (30) comprises an annular rim (38) with a punched central part, typically opposite the mouth (23) of said neck (2).

19. (Amended) Cap according to [any of claims 14 to 18] claim 14 wherein, a) said inner head (30) has a thickness ranging from 0 to 0.5 mm, b) said compression means is typically radial, and c) this compression means comprises a curved part (311) with a curvature typically similar to that of the curved part (221) of said edge which is opposite.

20. (Amended) Cap according to [any of claims 14 to 19] claim 14 wherein the thickness of said compression means is chosen as a function of the thickness  $E_j$  of the seal and the space  $E_o$  between said neck and said cap in particular, such that said recipient is closed in a tight manner by said cap, the thickness of the locally compressed seal or the distance  $E$  between the end of said compression means and said edge being typically between  $0.2 E_j$  and  $0.7 E_j$ , where  $E_j$  is typically between 1 and 2.5 mm.

21. (Amended) Cap according to [any of claims 14 to 20] claim 14 wherein said axial and/or radial compression means is an integral part of said insert (3) or forms an added part.

22. Cap according to any of claims 13 to 21 comprising holding means for said added seal, typically a holding rim (312) attached to said inner skirt (31).

22. (Amended) Cap according to [any of claims 13 to 21] claim 13 comprising holding means for said added seal, typically a holding rim (312) attached to said inner skirt (31).

7/pri/s

COMPOSITE SEALING CAPFIELD OF THE INVENTION

The invention relates to the field of caps and, more especially, screw closure caps intended for the closure of recipients or bottles in which the glass ring comprises threading.

These bottles are typically bottles containing aperitifs, liqueurs, alcohol or alcoholic beverages, the consumption of which is typically spread out over time, thus requiring the possibility to close and open said bottles, possibly a large number of times.

These caps most frequently comprise a pilfer-proof or guarantee seal.

STATE OF THE RELATED ART

A large number of sealing caps with guarantee seals are already known.

Some of these caps are made of plastic, as described in the European patent No. 0107 680 in the applicant's name, and typically intended for the closure of plastic water bottles.

Others are made of metal, as described in the French patent No. 2 677 333 or in the French patent applications No. 9705182 or 9706009 in the applicant's name, and typically intended for the closure of bottles containing alcohol, aperitifs and spirits.

PROBLEM STATEMENT

Sealing caps form an important part of the packaging of liquids and make a significant contribution to the final appearance and the image of the packaged product.

The invention aims to meet an increasing demand for products enabling a differentiation, a new aesthetic feature in a field involving many constraints and, given these constraints, where the possibilities for modifications are very limited.

These constraints, other than those resulting from obvious functions for use, are firstly constraints related to the industrial manufacturing of caps at high capacities, at a cost that is not prohibitive.

Then, constraints at the packaging company processing the product to be packaged are involved. For the latter, any modification in the cap must not affect the packaging processes, equipment and standard production capacities, unless in the case of an overall reduction in production costs.

In addition to these constraints, those related to the end user, whose habits or possibilities in terms of opening recipients or bottles must always be taken into account in sealing cap design.

20

#### DESCRIPTION OF THE INVENTION

The sealing cap according to the invention, intended for the screw closure of a recipient intended to contain alcoholic beverages, typically a bottle with a neck comprising threading and a pilfer-proof ring, is equipped with sealing means and pilfer-proof means, comprising two assembled parts attached in rotational and axial terms:

a) an inner part, or insert, made of plastic, comprising a so-called inner head and a so-called inner skirt, typically with a rotation axis, with said inner head comprising sealing means and said inner skirt

comprising inner threading on its inner surface intended to co-operate with the threading of said neck,

b) an outer part, or cap, enclosing and hiding at least said inner skirt, with the outer surface of said inner part and the inner surface of said outer part co-operating in view of said assembly of said inner 3 and outer parts, and is characterised in that,

1) said inner part carries out all the so-called technical functions of said cap, and comprises pilfer-proof means, with said inner skirt connected by bridges to a guarantee seal, intended to be held by the ring of said neck and separated from said skirt after a first opening of said cap,

2) said outer part carries out all or part of the decorative function of said cap, and comprises a so-called outer skirt, the length of which is such that it hides, at least before said first opening of said cap, said inner skirt and said guarantee seal, so as to be able to modify the appearance of said cap at will without having to modify said technical functions, with said guarantee seal becoming typically visible after said first opening.

These means according to the invention make it possible to solve the problem described, as illustrated with the figures described below.

#### DESCRIPTION OF FIGURES

All the figures, except figures 9a and 9b relate to cap embodiments according to the invention which comprise an inner part 3 and an outer part 4, with the references starting with a "3" typically relating to said inner part 3, and those starting with a "4" typically relating to said outer part 4.

For convenience purposes, two embodiments have  
5 been represented opposite each other in figures 1a and  
1b, 2a and 2b, 3a and 3b, 5a and 5b, 6a and 6b. In  
these figures, the cap is sealed by an added seal 35,  
except in figure 3b where sealing is performed by a lip  
seal 36.

Figures 1a and 2a, as for figures 3a, 5a and 6a, correspond to a first guarantee seal embodiment, before a first opening in figure 1a and after a first opening in figure 2a, wherein the ends of both the inner skirt 31 and the outer skirt 41 comprise a series of bridges 33, 42 and a guarantee seal 34, 43.

The outer guarantee seal 43 comprises a rim 430 which passes under the lower end of the inner guarantee seal 34, such that, upon opening, the two guarantee seals 34 and 43 fall onto the neck and clearly indicate that a first opening has already taken place.

Figures 1b and 2b, as for figures 3b, 5b and 6b, correspond to a second guarantee seal embodiment, before a first opening in figure 1b and after a first opening in figure 2b, wherein only the inner skirt 31 comprises a series of bridges 33 and a guarantee seal 34. In this case, the outer skirt 41 is sufficiently long to hide the guarantee seal 34 before the first opening, but sufficiently short so that, once the

bridges 33 have been broken, the guarantee seal becomes visible (in figure 2b) and clearly indicates that a first opening has already taken place.

In figures 1b to 2b, said outer part 4 covers said  
5 inner part 3 entirely and is typically cylindrical in shape, the head 40 being flat, and the skirt 41 being cylindrical.

Figures 3a, 3b, 5a, 5b, 6a, 6b and 7 particularly relate to variants of said outer part 4.

10 Figure 3a: the outer part 4 forms a mushroom cap.

Figure 3b: said outer skirt 41 comprises a two-segment profile of bridged straight lines.

This outer part may be made of either moulded plastic or pressed metal and then assembled with said  
15 inner skirt 31.

Figure 5a and 5b: said outer part 4 is limited to an outer skirt 41, which covers said inner skirt 31 completely in figure 5a, and to a significant extent in figure 5b, the diameter of said inner part 3 being  
20 greater where said outer skirt 41 is absent so that said cap has a cylindrical skirt 11 of the same radius over its entire height.

Figures 6a and 6b: said inner head 30 is partially covered by said outer head 40.

25 In figure 6a, the thickness of the inner head 30 is increased where the outer head 40 is absent to obtain a uniform, plane head 10, unlike the case in figure 6b.

Figure 7: said outer skirt 41 has any non-  
30 cylindrical shape.

Figures 4a to 4e represent portions of inner 31 and outer 41 skirts and illustrate assembly embodiments of said inner 31 and outer 41 parts using circular





Figure 12a illustrates two embodiments of radial compression means.

10            However, in the embodiment represented in the  
right section of figure 12a, which is enlarged in  
figure 12c, the compression means forms a step with Ea  
similar to Er and the inner head 30 is solid.

Figure 12e illustrates an example of an insert  
applying radial compression with a curved part 311,  
20 with the insert not comprising an inner head.

25 DETAILED DESCRIPTION OF THE INVENTION

Most of the figures illustrate this embodiment.

30           However, special decorative effects could be  
obtained if the skirt of the cap 1 was partly composed  
of a portion of said inner skirt 31 and partly of a

portion of said outer skirt 41, as would be the case in figure 5b with a shorter outer skirt 41.

Typically, said outer part 4 may comprise a straight, typically cylindrical, skirt 41, which  
5 corresponds to the most common case, but the invention can also be used to create originally shaped caps, with a non-cylindrical straight skirt 11 as represented as a section in figure 7, or a non-straight skirt, as represented in figure 3a.

10 Said outer part 4 may form a rotation surface, of a constant radius or not depending on the height in question, as illustrated in figure 3a.

In any case, said outer part 4, of any outer shape, is attached to said inner part 3; for this, said  
15 outer part 4 and said inner part 3 use mechanical 44, 45 or chemical attachment means, typically by gluing, as the means for said assembly.

Mechanical attachment means have been represented in figures 4a to 4e and 8. The inner 3 and outer 4  
20 parts may also be assembled using a snap-on or clip-on mechanism, with the materials and/or thickness of the parts 3 and 4 enabling elasticity and spring effects enabling their assembly.

In the other figures, the attachment means has not  
25 been represented, since it consists of gluing, using a layer of adhesive, or heat-sealing, of all or part of said inner part 3 on all or part of said outer part 4.

According to the invention, said inner part 3 may be a PP insert, comprising inner threading 32, on which  
30 the guarantee seal 34 comprises clips 341.

Such an insert may be obtained by PP moulding or injection. It is possible to obtain such a plastic

insert as described in the European patent No. 107 680  
in the applicant's name.

In this case, said outer part 4 may be made of surface-treated aluminium, typically brushed or anodised, to create a "metallic" colour or appearance.

Whether by using surface-treated aluminium or  
15 coating plastic with metal, the invention makes it  
possible to obtain a wide variety of decorative  
effects.

An example of a guarantee seal, among the many  
30 known, is given in the European patent No. 107 680.

first opening, during which the bridges 33 are broken, the guarantee seal, separated from the rest of the skirt, falls and is lowered by a few millimetres on the neck and becomes visible as a result, even on a closed  
5 bottle, as illustrated in figure 2b.

According to another embodiment illustrated particularly in figures 1a and 1b, said outer skirt 41, like the inner skirt 31, comprises bridges 42 attaching it to a so-called outer ring 43, with said outer ring  
10 locked upwards by said inner ring 340, typically by means of a lower rim 430 of said outer ring 43, such that, during said first opening, the outer 43 and inner 340 rings are separated from the rest of said cap.

In any case, the ring 20, by locking, during the  
15 first unscrewing, the clips 341 or any component of a guarantee seal of said inner part 3, fulfilling an equivalent function, breaks the bridges 33, and, if applicable, the bridges 42, and therefore causes the guarantee seal to fall onto the neck of the bottle,  
20 making it possible to see, unequivocally, that a first opening has already taken place.

The caps according to the invention may comprise any sealing means known in itself, typically by using either a circular lip 36 attached to said inner head,  
25 as illustrated in figure 3b, or an added seal 35 as illustrated in the other figures concerning the cap 1.

More precisely, the caps may comprise, in order to guarantee the tightness of the recipient once closed, an added seal 35 of sufficient diameter to cover the  
30 edge 22 of the neck 2 and axial and/or radial compression means on the inner surface of said insert, to apply said seal in a tight manner onto said edge 22 of said neck 2 during said closure.

A compression means is said to be axial when it is applied onto the upper part 220 of the edge, and it is said to be radial in the other cases, when it is applied either onto the curved part 221 or onto the  
5 vertical part 222 of the edge, as illustrated in figure 12d.

Said axial compression means may comprise a circular rib 300 formed on the inner wall of said inner head 30 intended to compress said seal onto the upper  
10 part 220 or said edge 22, typically plane, as illustrated in figure 12d.

Said radial compression means may comprise an annular extra thickness 310,302 formed on said inner skirt 31 or on said inner head 30, typically at the  
15 bridge 301 between the inner head 30 and the inner skirt 31, and intended to compress said seal onto all or part of the curved part 221, typically inclined, and/or onto the radial part 222, typically vertical, of the edge 22. This extra thickness may be attached to  
20 the inner skirt 31, as illustrated in figures 12a to 12e with the reference "310", or attached to the inner head 30 as illustrated in figure 12f with the reference "302".

According to a variant illustrated in figures 12a  
25 to 12d, said annular extra thickness 310 may take the form of an annular step positioned at the inner annular angle formed at the bridge of the inner head 30 and the inner skirt 31.

According to another variant illustrated in figure  
30 12b, said inner head 30 may comprise an annular rim 38 with a punched central part, typically opposite the mouth 23 of said neck 2. This variant makes it possible

to save weight and material for the plastic insert 3, particularly in the case of radial compression means.

Indeed, the applicant has observed that, the greater the radial type of compression effort, the greater the possibility to reduce the thickness of said inner head 30.

In this case, as illustrated in figure 12e, the cap will have the following characteristics:

- a) said inner head 30 has a thickness ranging from 0 to 0.5 mm,
- b) said compression means is typically radial, and
- c) this compression means comprises a curved part 311 with a curvature typically similar to that of the curved part 221 of said edge which is opposite.

This embodiment makes it possible to reduce the height of the cap, typically by 1 to 2 mm, which may be very useful in practice, given the standardisation constraints in the field of packaging.

The specialist may adapt the shape and exact dimensions of the insert and the axial and/or radial compression means, e.g. the thickness  $E_a$  and  $E_r$  as illustrated in figure 12b. Indeed, he may choose the thickness of said compression means as a function of the thickness  $E_j$  of the seal and the space  $E_o$  between said neck and said cap in particular, such that said recipient is closed in a tight manner by said cap, the thickness of the locally compressed seal or the distance  $E$  between the end of said compression means and said edge being typically between  $0.2 E_j$  and  $0.7 E_j$ , where  $E_j$  is typically between 1 and 2.5 mm.

Said axial and/or radial compression may be an integral part of said insert 3 or form an added part.

Preferably, said compression means is an integral part of said insert, as illustrated in figures 12a to 12f.

It is advantageous for the cap according to the  
5 invention to comprise holding means for said added  
seal, typically a holding rim 312 attached to said  
inner skirt 31.

## EXAMPLES OF APPLICATIONS

10           Firstly, we manufactured inner parts or inserts 3  
in PP with a cylindrical outer surface, with a  
guarantee seal 34, according to the process described  
in the European patent No. 107 680, and according to a  
common model for the figures attached, such as figures  
15 1a to 2b.

Secondly, we manufactured different outer parts or caps 4:

- in aluminium as represented in figures 1a to 2b,  
with different surface treatments, as illustrated in  
20 figures 11a to 11d,

- in metal-coated polystyrene, as represented in figures 1a to 2b.

Finally, we assembled the caps 4 and the inserts 3 using an adhesive.

25           We also manufactured caps according to figures 12b  
and 12e using a commercially available seal 35.

## ADVANTAGES OF THE INVENTION

The invention makes it possible to solve the  
30 problem described and paves the way for a wide  
diversity in terms of appearance. However, these caps  
offer the same usage behaviour in response to the  
constraints encountered.





•



CLAIMS

1. Sealing cap (1) intended for the screw closure of a recipient intended to contain alcoholic beverages, typically a bottle with a neck (2) comprising threading (21) and a pilfer-proof ring (20), equipped with  
5 sealing means and pilfer-proof means, comprising two assembled parts attached in rotational and axial terms:
  - a) an inner part (3), or insert, made of plastic, comprising a so-called inner head (30) and a so-called inner skirt (31), typically with a rotation axis (12),  
10 with said inner head (30) comprising sealing means and said inner skirt (31) comprising inner threading (32) on its inner surface intended to co-operate with the threading (21) of said neck,
  - b) an outer part (4), or cap, enclosing and hiding  
15 at least said inner skirt (31), with the outer surface of said inner part (3) and the inner surface of said outer part (4) co-operating in view of said assembly of said inner (3) and outer (4) parts, the cap being characterised in that,  
20
    - 1) said inner part (3) carries out all the so-called technical functions of said cap, and comprises pilfer-proof means, with said inner skirt (31) connected by bridges (33) to a guarantee seal (34), intended to be held by the ring of said neck and  
25 separated from said skirt after a first opening of said cap,
    - 2) said outer part (4) carries out all or part of the decorative function of said cap (1), and comprises a so-called outer skirt (41), the length of which is  
30 such that it hides, at least before said first opening of said cap (1), said inner skirt (31) and said

guarantee seal (34), so as to be able to modify the appearance of said cap (1) at will without having to modify said technical functions, with said guarantee seal (34) becoming typically visible after said first opening.

3. Cap according to any of claims 1 to 2 wherein said outer part (4) comprises a straight skirt (41).

5. Cap according to any of claims 1 to 4 wherein  
15 said outer part (4), of any outer shape, and said inner  
part (3) use mechanical (44, 45) or chemical attachment  
means, typically by gluing, as the means for said  
assembly, to said inner part (3).

7. Cap according to any of claims 1 to 6 wherein  
said outer part (4), made of metal or comprising a  
25 metal part, is attached to said insert by gluing.

30 9. Cap according to any of claims 1 to 6 wherein  
said outer part (4), made of plastic, typically  
polystyrene, is attached to said insert by mechanical  
assembly or by gluing.

10. Cap according to claim 9 wherein said outer part (4) is metal-coated.

11. Cap according to any of claims 1 to 10 wherein said guarantee seal (34) comprises an inner ring (340) equipped with fastening components (341), typically clips or hooks, turned towards the inside of said cap, and snapped under said ring (20) such that, during said first opening, the bridges (33) break, with said guarantee seal (34) prevented from moving upwards by the co-operation of said components (341) with said ring (20), and such that said guarantee seal (34), separated from the rest of said cap, becomes the visible proof of said first opening.

12. Cap according to claim 11 wherein said outer skirt (41) comprises bridges (42) attaching it to a so-called outer ring (43), with said outer ring locked upwards by said inner ring (340), typically by means of a lower rim (430) of said outer ring (43), such that, during said first opening, the outer (43) and inner (340) rings are separated from the rest of said cap.

13. Cap according to any of claims 1 to 12 wherein said sealing means typically comprises an added seal (35) or a circular lip (36) attached to said inner head.

14. Cap according to claim 13 comprising an added seal (35) of sufficient diameter to cover the edge (22) of the neck (2) and axial and/or radial compression means on the inner surface of said insert, to apply said seal in a tight manner onto said edge (22) of said neck (2) during said closure.

15. Cap according to claim 14 wherein said axial compression means comprises a circular rib (300) formed on the inner wall of said inner head (30) intended to



21. Cap according to any of claims 14 to 20  
5 wherein said axial and/or radial compression means is  
an integral part of said insert (3) or forms an added  
part.

22. Cap according to any of claims 13 to 21  
comprising holding means for said added seal, typically  
10 a holding rim (312) attached to said inner skirt (31).



ABSTRACT

COMPOSITE SEALING CAP

5       The cap (1) comprises a head and skirt and is  
characterised in that it comprises two assembled parts:  
a) an inner part (3) comprising a so-called inner  
head (30) and a so-called inner skirt (31), typically  
with a rotation axis (12), carrying out the so-called  
10 technical functions of said cap,  
b) an outer part (4) carrying out all or part of  
the decorative function of said cap (1), so as to be  
able to modify the appearance of said cap (1) at will  
without having to modify said technical functions.

15

Fig. 1a&b

1/7

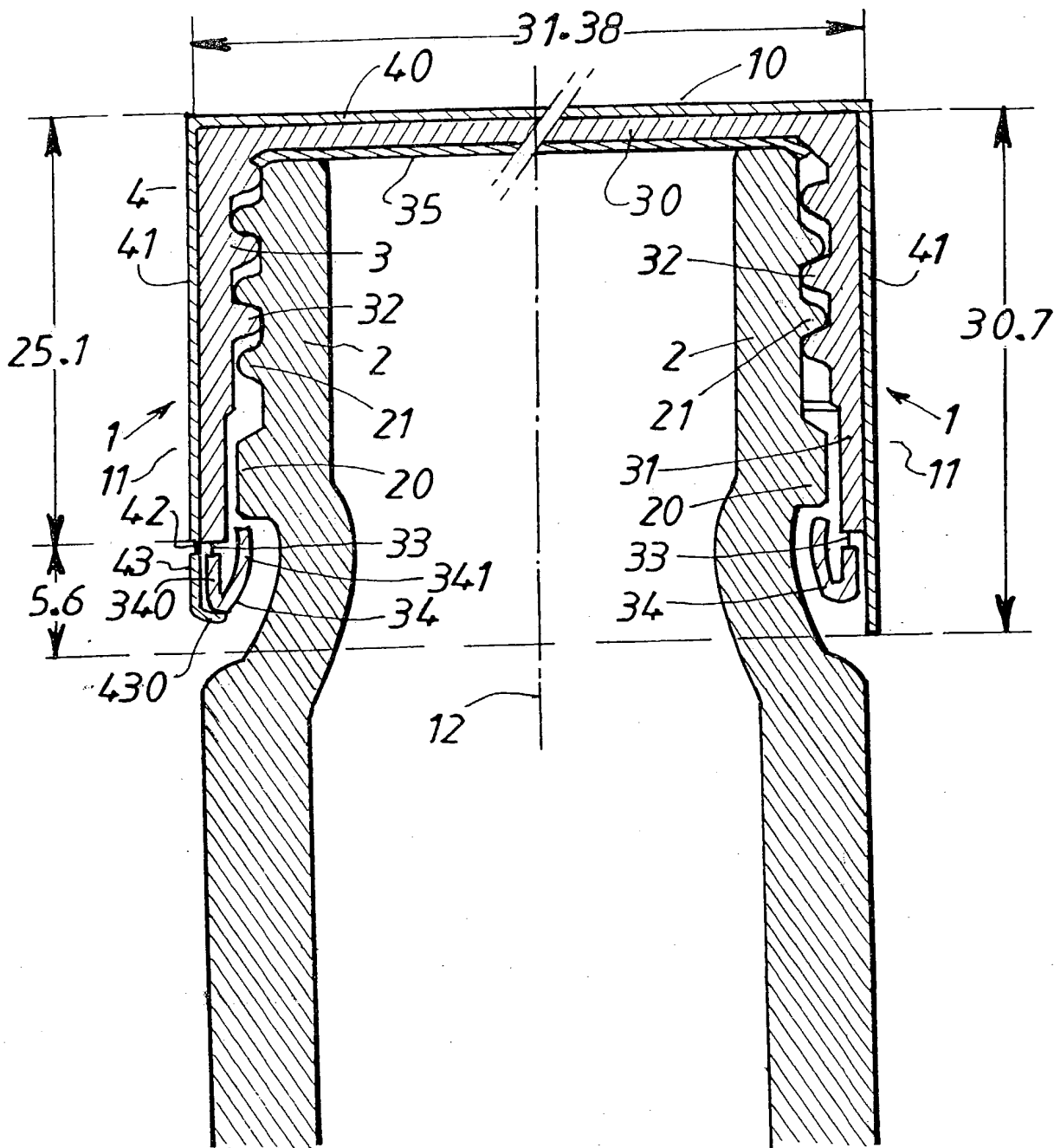


FIG. 1a

FIG. 1b

2/7

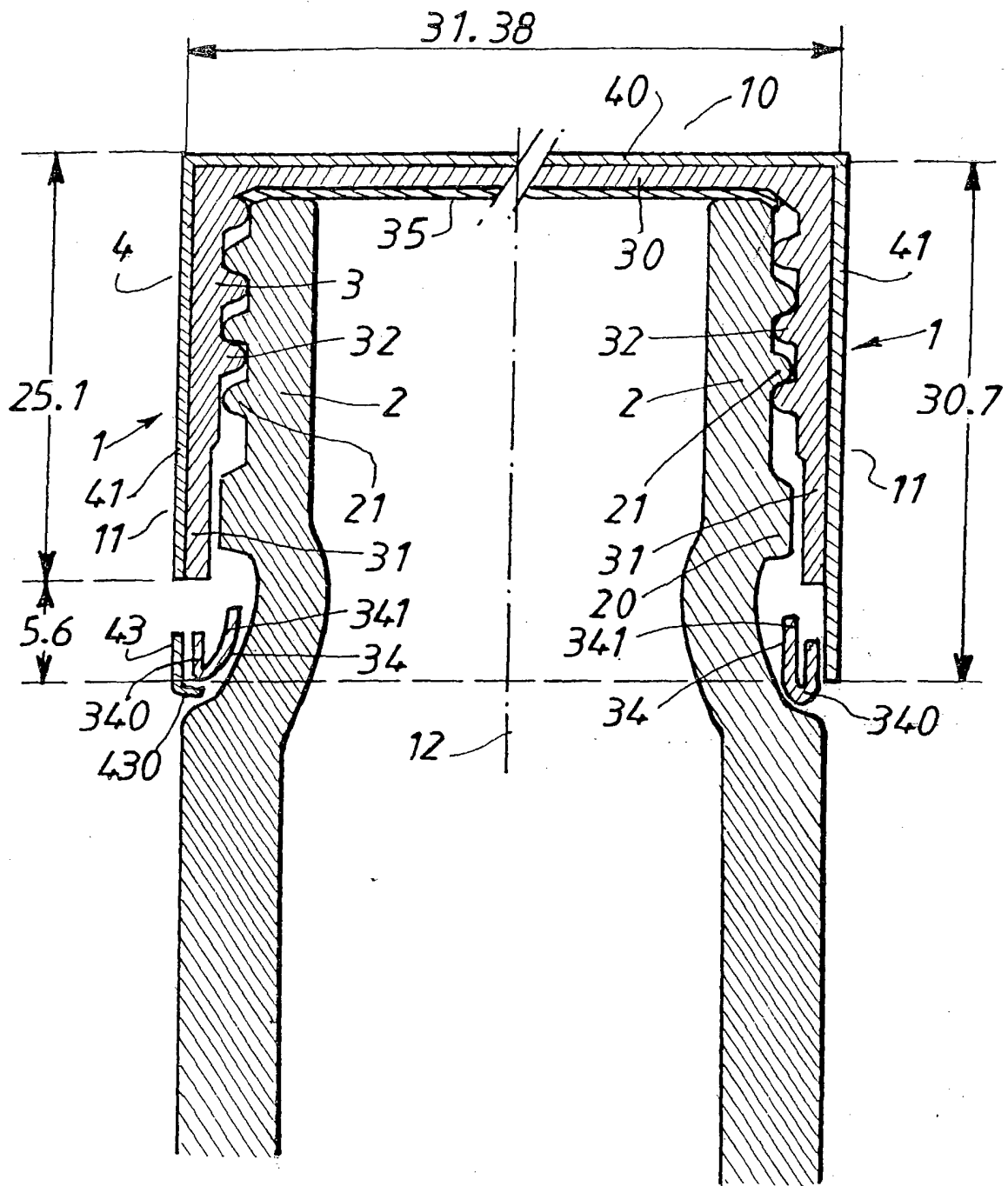


FIG. 2a

FIG. 2b

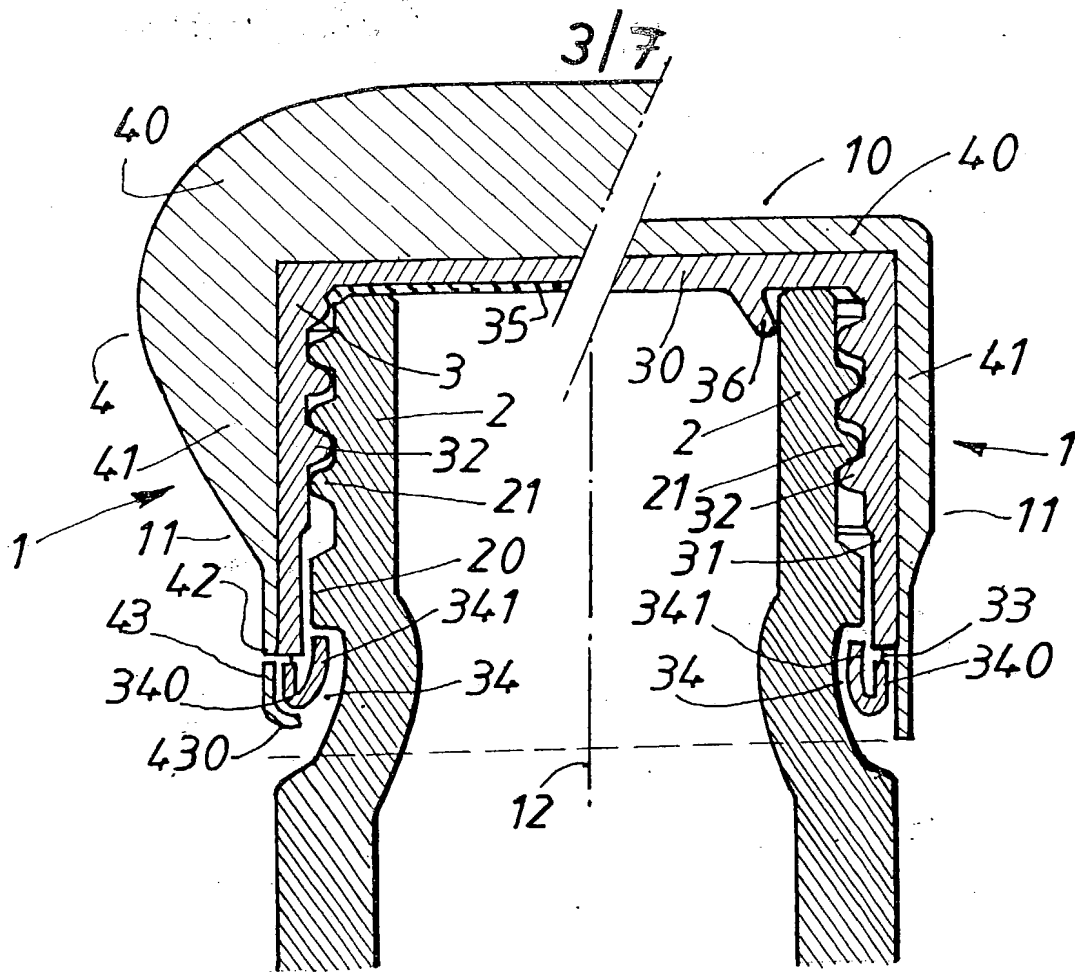


FIG. 3a

FIG. 3b

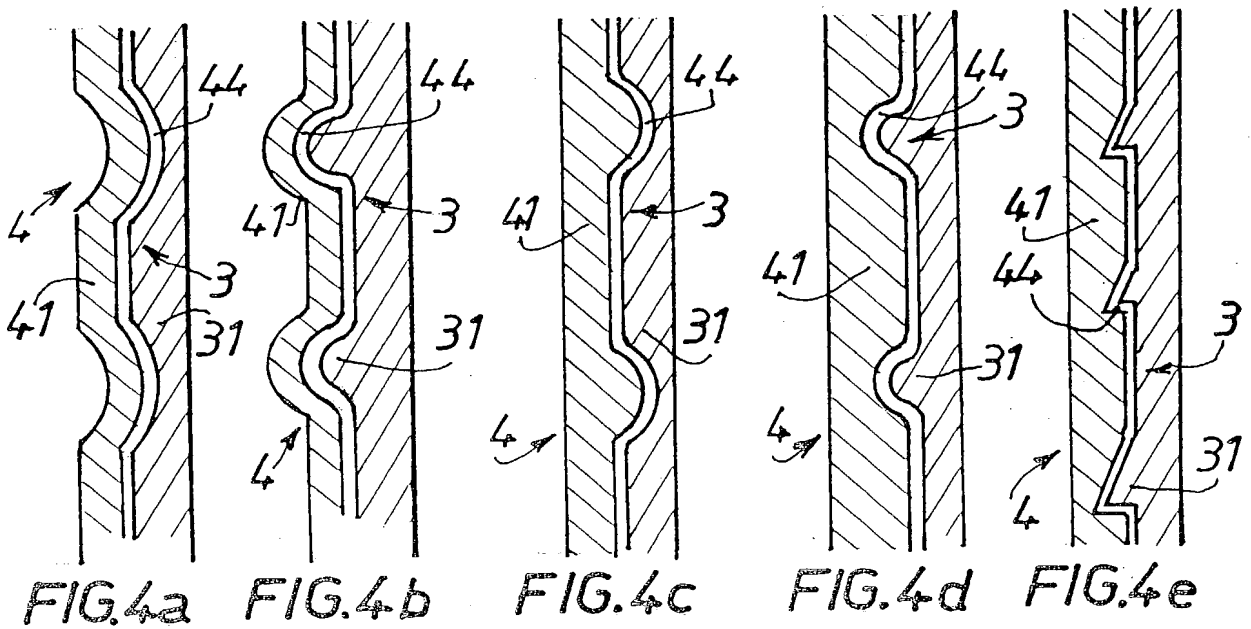


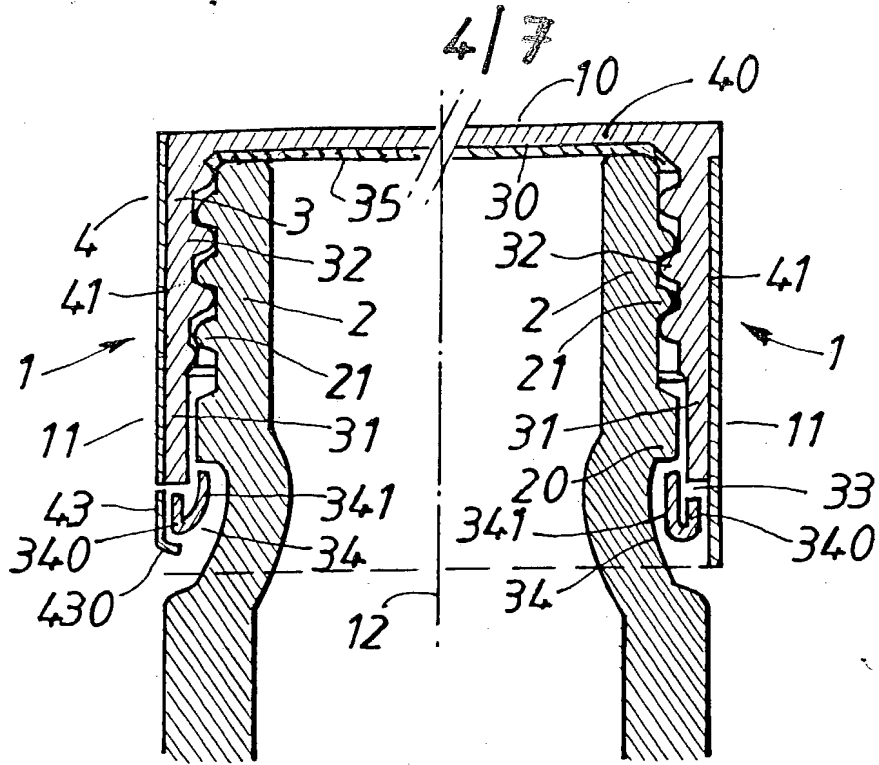
FIG. 4a

FIG. 4b

FIG. 4c

FIG. 4d

FIG. 4e



**FIG.5b**

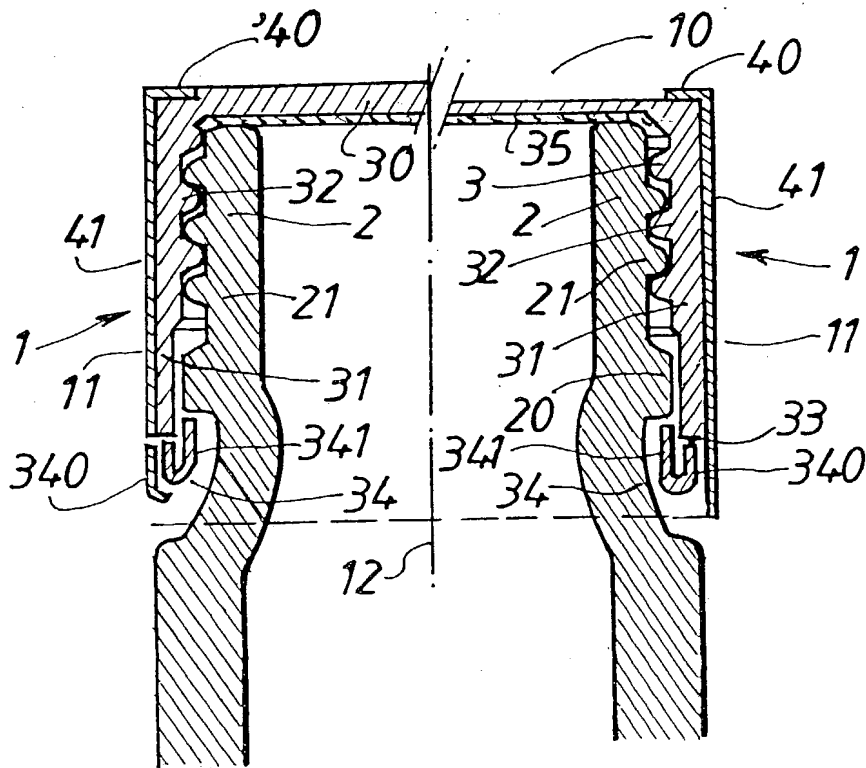
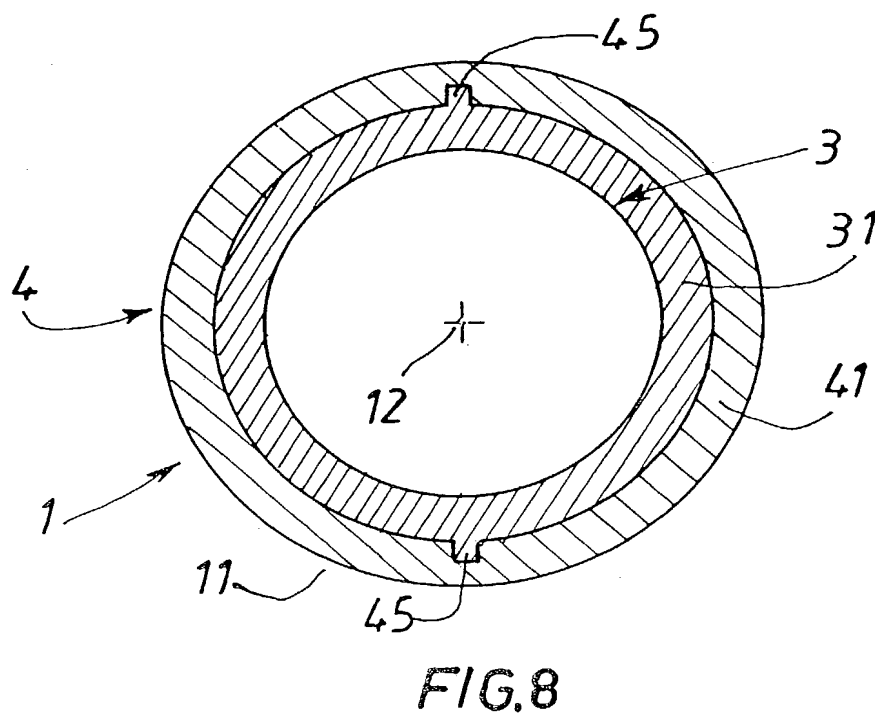
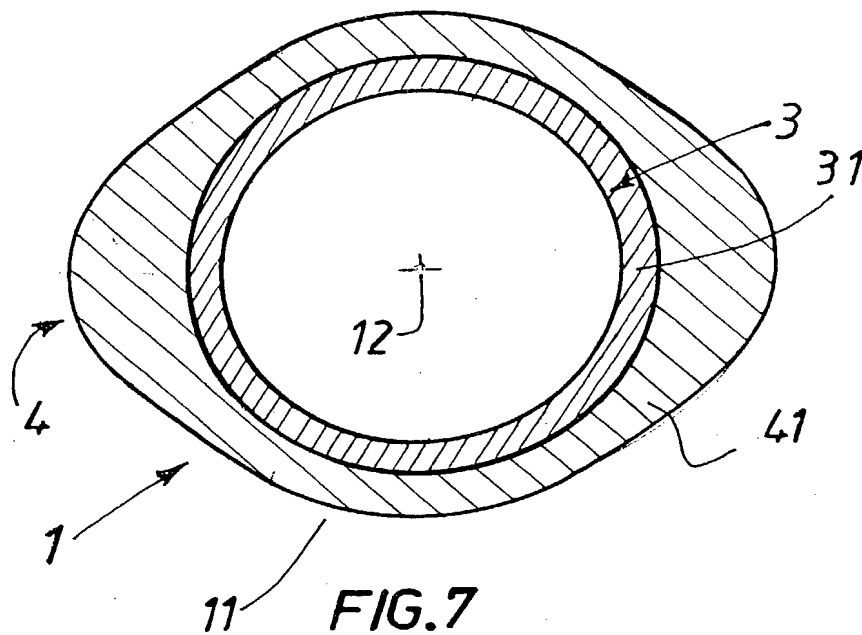


FIG. 6b

5/7



6/7

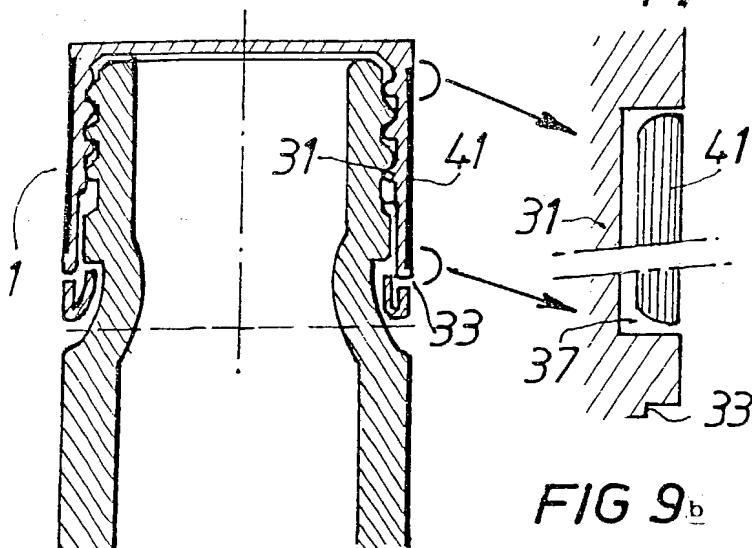


FIG. 9a

FIG. 9b

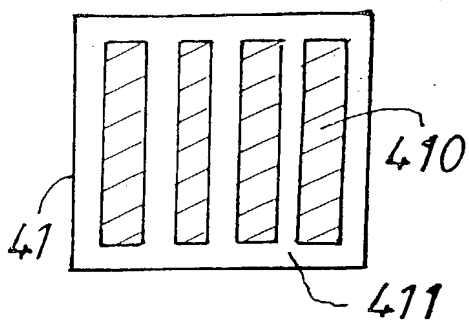


FIG. 11a

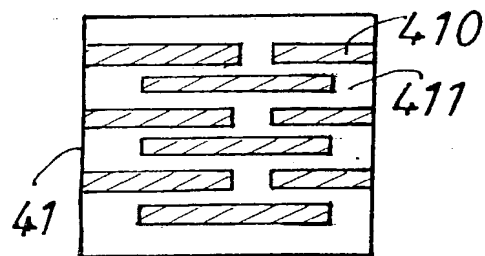


FIG. 11b

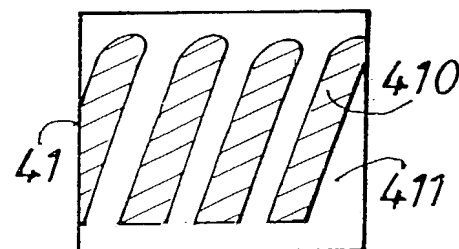


FIG. 11c

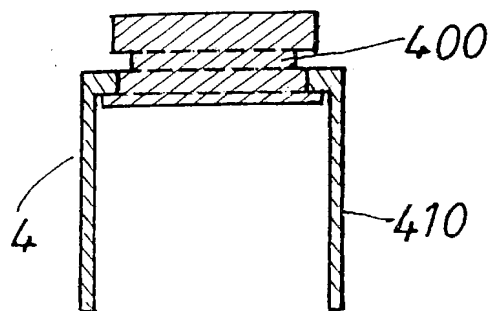


FIG. 10a

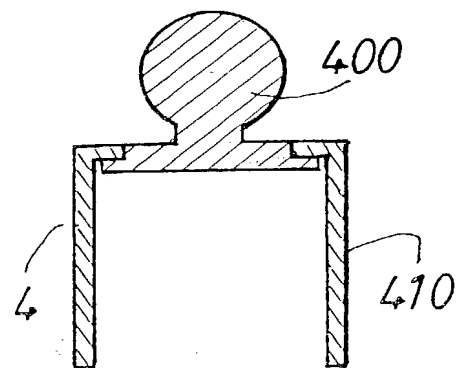


FIG. 10b

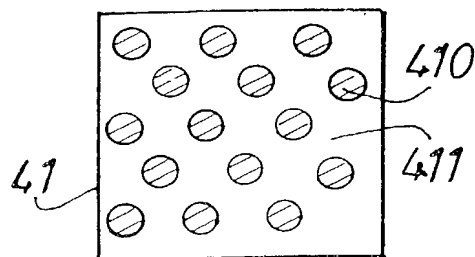


FIG. 11d

7/7

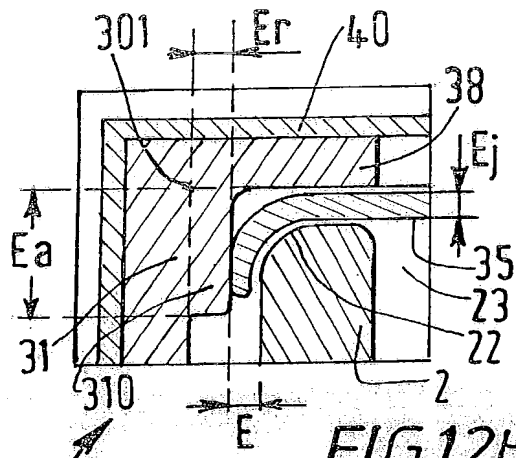


FIG. 12b

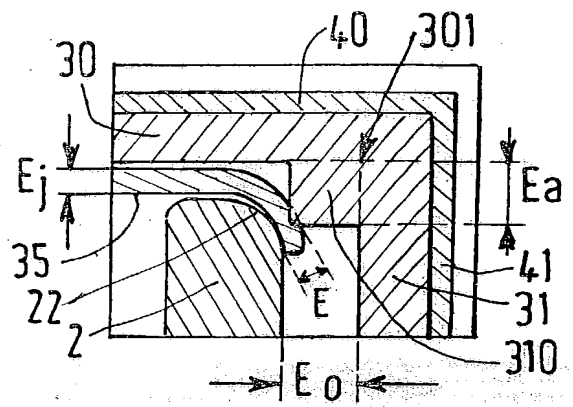


FIG. 12c

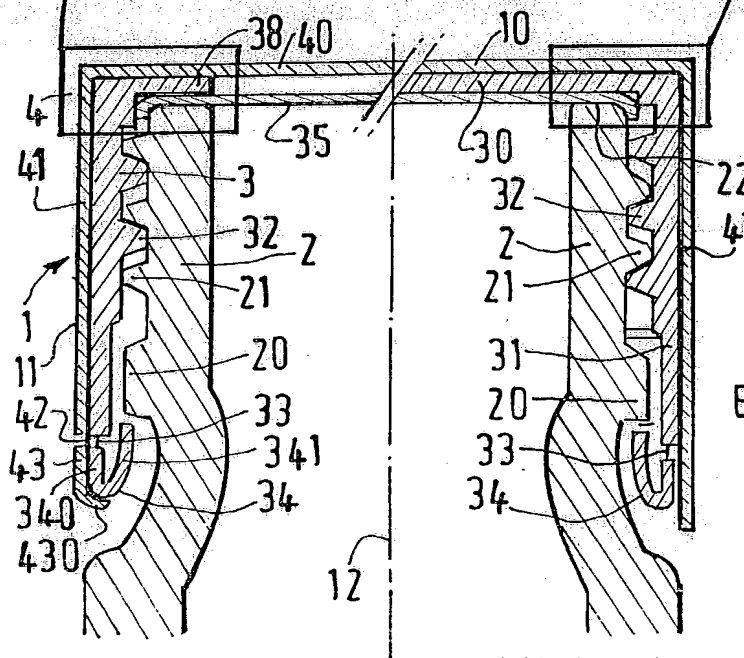


FIG. 12a

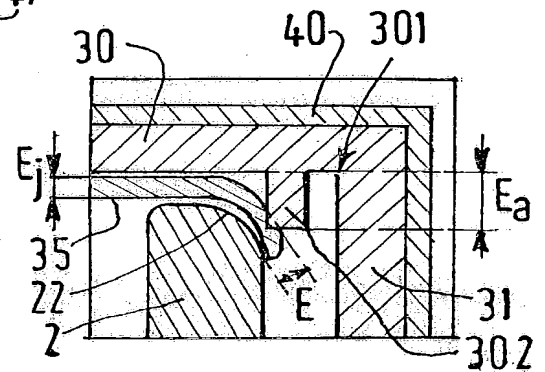


FIG. 12f

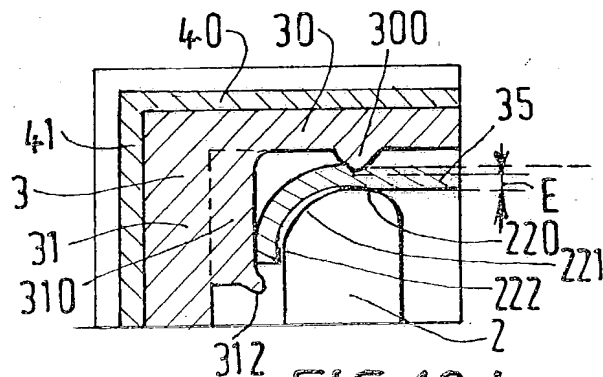


FIG. 12d

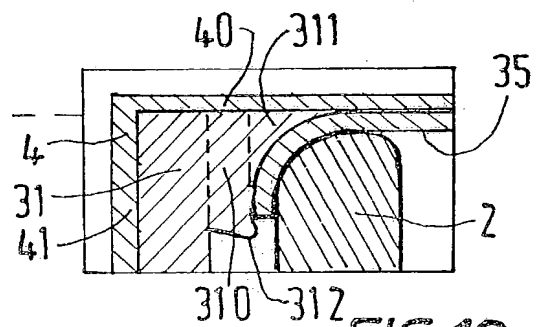


FIG. 12e



DECLARATION (37 CFR 1.63) FOR A UTILITY OR DESIGN  
APPLICATION USING AN APPLICATION DATA SHEET

As below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application; or  
☒ Application No. 09/926,290, filed on October 9, 2001,  
☒ as amended on October 9, 2001 (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

## FULL NAME OF INVENTOR(S)

Inventor one: Jacques Granger

Citizen of: France

Signature: [Signature]

Date: October 22<sup>th</sup>, 2001

Inventor two: Yves Peyrin

Citizen of: France

Signature: [Signature]

Date: October 22<sup>th</sup>, 2001

Inventor three: Jean Marie Bourreau

Citizen of: France

Signature: [Signature]

Date: October 22<sup>th</sup>, 2001

Inventor four: \_\_\_\_\_

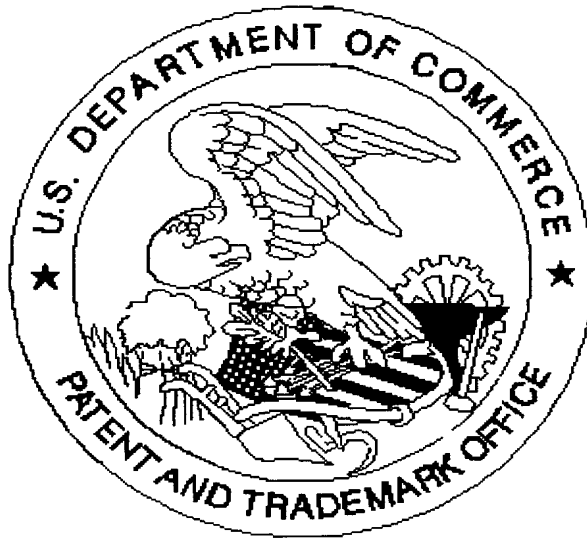
Citizen of: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

☐ Additional inventor(s) is/are being named on \_\_\_\_\_ additional form(s) attached hereto.

United States Patent & Trademark Office  
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

☒ Page(s) \_\_\_\_\_ of data sheet were not present  
for scanning. (Document title)

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not  
present  
for scanning. (Document title)

☐ *Scanned copy is best available.*